ISSN: 1401-5617



## The Hilbert series of the clique complex

Daniela Ferrarello Ralf Fröberg

Research Reports in Mathematics Number 5, 2004

Department of Mathematics Stockholm University Electronic versions of this document are available at http://www.math.su.se/reports/2004/5  $\,$ 

Date of publication: April 19, 2004 2000 Mathematics Subject Classification: Primary 13O25. Keywords: Clique complex, Hilbert series, matching complex, independent set complex.

Postal address: Department of Mathematics Stockholm University S-106 91 Stockholm Sweden

Electronic addresses: http://www.math.su.se/ info@math.su.se

# THE HILBERT SERIES OF THE CLIQUE COMPLEX

DANIELA FERRARELLO

Department of Mathematics and Computer Science University of Catania Viale Andrea Doria 6, 95125 Catania, Italy e-mail: ferrarello@dmi.unict.it

> RALF FRÖBERG Department of Mathematics Stockholm University SE-106 91 Stockholm, Sweden e-mail: ralff@math.su.se

#### Abstract

For a graph G, we show a theorem that establishes a correspondence between the fine Hilbert series of the Stanley-Reisner ring of the clique complex for the complementary graph of G and the fine subgraph polynomial of G. We obtain from this theorem some corollaries regarding the independent set complex and the matching complex.

### 1 Introduction

There are several simplicial complexes we can associate to a graph.

Recall that a simplicial complex is a collection of subsets of a set V, called faces, including all the singletons and all the subsets of an element in the simplicial complex.

It is thus natural to associate to a graph simplicial complexes for properties which are "closed by subsets". Here we consider the *clique complex*, whose faces are the cliques of the graph, i.e. its complete subgraphs, the *independent set complex*, whose faces are the independent sets of the graph, i.e. the sets of vertices having no edges in common and the *matching complex*, whose faces are the matchings of the graph, i.e. the sets of edges sharing no vertices.

Precise definitions will be given later in the paper.

To a simplicial complex one can associate its *Stanley-Reisner ring*, that is the quotient ring of the polynomial ring over a field in the *n* variables (where *n* is the number of vertices) modulo the ideal generated by those monomials representing non-faces of the simplicial complex. This ring is also called the *face ring*. Paul Renteln proved in 2002 that the Hilbert series of the face ring of a clique complex (in a few words the generating function of the dimension of the graded parts of the face ring) is related with a polynomial, called the *subgraph polynomial*; this last is a polynomial in two variables, say x and y, where the coefficient of  $x^i y^j$  is the number of subgraphs of the graph with i vertices and j edges.

The theorem by Renteln is proved via homological algebra. Here we will give a more precise result than the one given by Renteln and moreover we will obtain the proof by pure combinatorics, without using any concepts of homological algebra.

### 2 Basic concepts

#### 2.1 The Hilbert Series

**DEFINITION 2.1.** Let  $R = K[x_1, ..., x_n]/I$  be a homogeneous algebra. Then  $R = \bigoplus_{i\geq 0} R_i$ , where  $R_i$  is the vector space of the homogeneous elements of R of degree *i*. The Hilbert function of R is

 $H(R,i) = \dim_K R_i,$ 

and the Hilbert series of R is

$$H_R(t) = \sum_{i \ge 0} H(R, i) t^i.$$

If I is generated by monomials, then R is  $\mathbb{N}^n$ -graded,  $R = \bigoplus_{\alpha \in \mathbb{N}^n} R_{\alpha}$ , and we can define the *fine Hilbert series* of R in the following way

**DEFINITION 2.2.** If  $R = K[x_1, ..., x_n]/I$  and I is generated by monomials, then the fine Hilbert series is

 $\begin{aligned} \mathbf{H}_{R}(t) &= \sum_{\alpha \in \mathbb{N}^{n}} dim_{K} R_{\alpha} \mathbf{t}^{\alpha} \\ where \ \mathbf{t}^{\alpha} &= t_{1}^{\alpha_{1}}, \dots, t_{n}^{\alpha_{n}} \ if \ \alpha = (\alpha_{1}, \dots, \alpha_{n}). \end{aligned}$ 

If  $M = \bigoplus_{i \ge 0} M_i$  is a finitely generated module over a homogeneous algebra R (or  $M = \bigoplus_{\alpha \in \mathbb{N}^n} M_{\alpha}$  a finitely generated module over an  $\mathbb{N}^n$ -graded algebra, respectively), we can extend the definitions of Hilbert series above. We set  $H_M(t) = \sum_{n \ge 0} \dim_K M_n t^n$  and  $\mathbf{H}_M(t_1, \ldots, t_n) = \sum_{\alpha \in \mathbb{N}} \dim_K M_\alpha \mathbf{t}^\alpha$ , respectively. It is clear that  $\mathbf{H}_M(t, \ldots, t) = H_M(t)$ .

If  $R = K[x_1, \ldots, x_n]$  then  $\mathbf{H}_R(t_1, \ldots, t_n) = 1/\prod_{i=1}^n (1 - t_i)$ . If  $R = K[x_1, \ldots, x_n]/I$ where I is generated by monomials, then  $\mathbf{H}_R(t_1, \ldots, t_n) = \mathbf{H}_{K[x_1, \ldots, x_n]}(t_1, \ldots, t_n) - \mathbf{H}_I(t_1, \ldots, t_n)$ .

If *I* is generated by the monomial  $x_1^{i_1} \cdots x_n^{i_n}$ , then  $\mathbf{H}_I(t_1, \ldots, t_n) = t_1^{i_1} \cdots t_n^{i_n} \mathbf{H}_{K[x_1, \ldots, x_n]}(t_1, \ldots, t_n).$ 

**EXAMPLE 2.1.** If  $R = K[x_1, x_2]/(x_1x_2)$ , then  $\mathbf{H}_R(t_1, t_2) = 1/((1 - t_1) \cdot (1 - t_2)) - (t_1t_2)/((1 - t_1) \cdot (1 - t_2)) =$   $= (1 - t_1t_2)/((1 - t_1) \cdot (1 - t_2))$ , and thus  $H_R(t) = (1 - t^2)/((1 - t_1)^2) = (1 + t)/((1 - t_1))$ .

#### 2.2 Graphs and Simplicial Complexes

Here we define the topics about graphs and simplicial complexes we will use in the paper.

**DEFINITION 2.3.** The complementary graph of G = (V, E) is the graph  $\overline{G}$ with the vertices of V and edges all the couples  $\{v_i, v_j\}$  such that  $i \neq j$  and  $\{v_i, v_j\}$  $\notin E$ .

**DEFINITION 2.4.** The line graph of G = (V, E) is the graph L(G) whose vertices are the edges of G and two vertices of L(G) are joined is they share a vertex in common as edges of G.

**DEFINITION 2.5.** A clique of a graph G is a complete subgraph of G.

**DEFINITION 2.6.** An independent set S of a graph G = (V, E) is a subset of V such that no vertices in S share an edge in E.

**DEFINITION 2.7.** A matching M of a graph G = (V, E) is a subset of E such that no edges in M share a vertex in V.

**DEFINITION 2.8.** A simplicial complex  $\triangle$  on the set  $V = \{v_1, \ldots, v_n\}$  is a set of subsets of V, called faces or simplices such that  $\{v_i\} \in \triangle, \forall i$  and every subset of a face is itself a face.

If we have a graph G we can associate several simplicial complexes to it. Among these, the clique complex, the independent set complex and the matching one.

**DEFINITION 2.9.** The clique complex  $\triangle(G)$  is the simplicial complex whose faces are the cliques of G.

**DEFINITION 2.10.** The independent set complex  $\overline{\triangle}(G)$  is the simplicial complex whose faces are the independent sets of G.

Since a clique of G is clearly an independent set of  $\overline{G}$ , it is easy to note that  $\overline{\Delta}(G) = \Delta(\overline{G}).$ 

**DEFINITION 2.11.** The matching complex  $\triangle_M(G)$  is the simplicial complex whose faces are all the subsets of the edges which are matchings of G.

By definition, the matchings of G are all the independent sets of the line graph L(G). So, we can easily notice that  $\Delta_M(G) = \overline{\Delta}(L(G))$ .

#### 2.3 The Subgraph Polynomial

The definition and some properties of the subgraph polynomial can be found in [Ren].

**DEFINITION 2.12.** If G is a graph on the vertex set V and with edges in E, the Subgraph Polynomial is the following polynomial:

 $S_G(x,y) = \sum_{ij} b_{ij} x^i y^j$ where  $b_{ij}$  is the number of subgraphs of G with i vertices and j edges.

We will also define here a finer invariant:

**DEFINITION 2.13.** If G = (V, E) is a graph with  $V = \{v_1, \ldots, v_n\}$ , the fine Subgraph Polynomial of G is  $\mathbf{S}_{\mathbf{G}}(x_1, \ldots, x_n, y) = \sum_{T \subset E} x_{i_1} \cdots x_{i_t} y^{|T|}$ , where  $v_{i_1} \ldots v_{i_t}$  are the vertices involved in the edges in T.

Clearly,  $\mathbf{S}_G(x, \ldots, x, y) = S_G(x, y).$ 

## 3 The Hilbert series of the Stanley-Reisner ring of $\triangle(G)$

The theorem we want to show is the following:

**THEOREM 3.1.** Let G be a simple graph on the vertex set  $V = \{v_1, \ldots, v_n\}$ and let  $\triangle(\bar{G})$  be the clique complex of the complement of G. Then, if R is the Stanley-Reisner ring of  $\triangle(\bar{G})$ , we have

 $\mathbf{H}_{R}(v_{1},\ldots,v_{n}) = \mathbf{S}_{G}(v_{1},\ldots,v_{n},-1) / \prod_{i=1}^{n} (1-v_{i}).$ 

#### Proof

The Stanley-Reisner ring of  $\triangle(\bar{G})$  is the quotient  $R = K[v_1, \ldots, v_n] / I_{\triangle(\bar{G})}$ , where  $I_{\triangle(\bar{G})} = (\{v_{i_1} \cdots v_{i_r} \text{ s.t. } i_1 < \ldots < i_r, \{v_{i_1}, \ldots, v_{i_r}\} \notin \triangle(\bar{G})\}).$ 

Now, if  $\{v_{i_1}, \ldots, v_{i_r}\} \notin \triangle(\bar{G})$  it means that the subgraph induced by the set of vertices  $\{v_{i_1}, \ldots, v_{i_r}\}$  is not complete and so  $\exists$  at least one edge, call it  $\{v_{i_1}, v_{i_2}\}$ , that does not belong to the edges of  $\bar{G}$ , i.e.  $\{v_{i_1}, v_{i_2}\} \in E(G)$ .

So, we can write  $I_{\triangle(\bar{G})} = (\{v_{i_1} \cdot v_{i_2} \text{ s.t. } i_1 < i_2, \{v_{i_1}, v_{i_2}\} \in G\}).$ 

The fine Hilbert series of a polynomial ring modulo an ideal generated by monomials is the sum of all the nonzero monomials, so in general, to compute the Hilbert series of the quotient  $K[v_1, \ldots, v_n]/(m_1, \ldots, m_k)$ , where the  $m'_is$  are the monomials generating the ideal, one can use the following formula coming from the principle of inclusion-exclusion:

 $\mathbf{H}(K[v_1,\ldots,v_n]/(m_1,\ldots,m_k)) = \mathbf{H}(K[v_1,\ldots,v_n]) - \sum_{i=1}^k \mathbf{H}(m_i) + \sum_{i< j} \mathbf{H}(m_i \cap m_j) - \sum_{i< j< h} \mathbf{H}(m_i \cap m_j \cap m_h) + \dots$ 

It is easy to see that  $(m_{i_1}) \cap \ldots \cap (m_{i_h}) = l.c.m.(m_{i_1}, \ldots, m_{i_h}).$ 

In our case  $\mathbf{H}(R) = \mathbf{H}(K[v_1, \dots, v_n]/(v_{1_1} \cdot v_{1_2}, \dots, v_{k_1} \cdot v_{k_2})) =$ =  $\frac{1}{\prod_{i=1}^{k} (1-v_i)} \cdot [(-1)^1 \sum_{i=1}^{k} v_{i_1} \cdot v_{i_2} + (-1)^2 \sum_{i < j} l.c.m.(v_{i_1} \cdot v_{i_2}, v_{j_1} \cdot v_{j_2}) + (-1)^3 \sum_{i < j < h} l.c.m.(v_{i_1} \cdot v_{i_2}, v_{j_1} \cdot v_{j_2}, v_{h_1} \cdot v_{h_2}) + \dots].$ 

Notice that the coefficient of the sums of subgraphs with an odd number of edges is -1 and the one of subgraphs with an even number of edges is 1.

And the fine Subgraph Polynomial computed for 
$$y = -1$$
 is  
 $\mathbf{S}_{\mathbf{G}}(v_1, \dots, v_n, -1) = 1 + (\sum_{i=1}^{|E|} v_{i_1} \cdot v_{i_2})(-1)^1 + \sum_{i < j} l.c.m.(v_{i_1} \cdot v_{i_2}, v_{j_1} \cdot v_{j_2})(-1)^2 + \sum_{i < j < h} l.c.m.(v_{i_1} \cdot v_{i_2}, v_{j_1} \cdot v_{j_2}, v_{h_1} \cdot v_{h_2})(-1)^3 + \dots].$ 
So, the thesis holds.

By the last theorem we can easily obtain three corollaries, two of these last are the results given by Renteln.

**COROLLARY 3.1.** Let G be a graph on n vertices and let  $\triangle(G)$  be the clique complex of the complementary graph and  $\overline{\triangle}(G)$  be the independent sets complex of G. Then the Hilbert series of  $K[\triangle(\bar{G})]$  is given by  $H_{K[\overline{\triangle}(G)]}(v) = H_{K[\triangle(\bar{G})]}(v) = S_G(v, -1)/(1-v)^n.$ 

**COROLLARY 3.2.** Let G be a graph on n vertices and let  $\triangle(G)$  be its clique complex. Then the Hilbert series of  $K[\triangle(G)]$  is given by  $H_{K[\triangle(G)]}(v) = S_{\bar{G}}(v,-1)/(1-v)^n.$ 

**COROLLARY 3.3.** Let G be a graph on n vertices and let  $\triangle_M(G)$  be its match-

ing complex. Then the Hilbert series of  $K[\triangle_M(G)]$  is given by  $H_{K[\triangle_M(G)]}(v) = H_{K[\overline{\triangle}(L(G))]} = S_{L(G)}(v,-1)/(1-v)^n.$ 

## References

[Ren] Renteln P. "The Hilbert series of the Face Ring of a Flag Complex" Graphs and Combinatorics 18 (2002) no.3 605–619