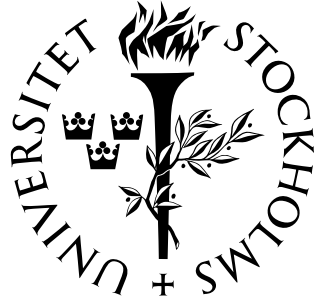


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# Integral closure of powers of the graded maximal ideal in a monomial ring

Vincenzo Micale\*

## Abstract

In this paper we study the integral closure of ideals of monomial subrings  $R$  of  $k[x_1, x_2, \dots, x_d]$  spanned by a finite set of distinct monomials of the polynomial ring. We generalize a well known result for monomial ideals in the polynomial ring to rings  $R$  as above proving that the integral closure of a monomial ideal is monomial and we give a geometric description of the integral closure of an ideal of  $R$ . Then we focus our attention to the study of the integral closure of powers of the graded maximal ideal of  $R$  in two particular cases.

MSC: 13B25; 13F20

## 1 Introduction

Let  $H = \{h_1, h_2, \dots, h_m\}$  be a finite set of distinct monomials in  $k[x_1, x_2, \dots, x_d]$  and let  $R = k[H] = k[h_1, h_2, \dots, h_m] \subseteq k[x_1, x_2, \dots, x_d]$  be the monomial subring spanned by  $H$ . Furthermore we suppose that the complement to  $\mathbb{N}^d$  of the set of exponents of all monomials in  $R$  is finite.

In this paper we study the integral closure of ideals in  $R$ . In Section 2 we give the concepts of multidegree of a monomial and of integral closure and normality of an ideal. In Section 3 we generalize a well known result for monomial ideals in the polynomial ring to rings  $R$  as above proving that the integral closure of a monomial ideal is monomial and we give a geometric description of the integral closure of an ideal of  $R$ . In Section 4 we focus our attention to the study of the integral closure of powers of the graded maximal ideal of  $R$  in two particular cases.

## 2 Preliminaries

Let  $R$  be a ring as in the Introduction. We can associate to every monomial  $ux_1^{a_1} \cdots x_d^{a_d}$  in  $R$ , with  $u \in k \setminus \{0\}$ , the power product  $x_1^{a_1} \cdots x_d^{a_d}$ . Let  $m$  be a monomial in  $R$  and let  $x_1^{a_1} \cdots x_d^{a_d}$  be the associated power product. We call

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$(a_1, a_2, \dots, a_d) \in \mathbb{N}^d$  the multidegree of  $m$  and we denote it by  $\text{mdeg}(m)$ . If  $m_1$  and  $m_2$  are monomials in  $R$ , then  $m_1$  and  $m_2$  have the same multidegree if there exists  $u \in k \setminus \{0\}$  such that  $m_1 = um_2$ .

Let  $I$  be an ideal of  $R$ . We denote by  $\bar{I}$  the integral closure  $\{z \in R \mid z^n + r_1 z^{n-1} + \dots + r_n = 0, \text{ for some } r_i \in I^i\}$ .

By definition  $I \subseteq \bar{I}$  and, in general, it may happen that  $I \subsetneq \bar{I}$ . An ideal  $I$  in  $R$  is called *normal* if  $I^j = \bar{I}^j$  for every  $j \geq 1$ .

### 3 Integral closure of monomial ideals

Now we generalize a well known result for monomial ideals in the polynomial ring to rings  $R$  as in the Introduction; we have used some ideas from [2].

**Theorem 3.1.** *Let  $I$  be a monomial ideal in  $R$ . Then  $\bar{I}$  is a monomial ideal.*

Proof. Since it is well known that  $\bar{I}$  is an ideal in  $R$ , we only need to prove that  $\bar{I}$  is generated by monomials. Let  $z \in \bar{I}$ ,  $z = m_1 + \dots + m_s$ , where the  $m_i$ 's are monomials with different multidegrees. We want to prove that  $m_i \in \bar{I}$  for every  $i = 1, \dots, s$ . By induction, it suffices to verify that  $m_i \in \bar{I}$  for some  $i$  since  $\bar{I}$  is an ideal in  $R$ . To this aim we prove that there exists  $N > 0$  such that  $m_i^N \in I^N$  for some  $i = 1, \dots, s$  (hence  $m_i \in \bar{I}$  since it is root of  $Z^N - m_i^N = 0$ ).

From the definition of integral closure, we have

$$(m_1 + \dots + m_s)^n + l_1(m_1 + \dots + m_s)^{n-1} + \dots + l_n = 0, \quad (l_i \in I^i).$$

Let us consider the multidegree of  $m_1^n$ . There must exist another term in the equation above which has the same multidegree and it must be of the form  $b_{i_1} m_1^{j_{1,1}} \dots m_s^{j_{1,s}}$ , where  $b_{i_1} \in I^{i_1}$  and  $\sum_{k=1}^s j_{1,k} = n - i_1$  (we note that if  $s = 1$ , then  $z = m_1 \in \bar{I}$ ).

Since the set of elements of the same multidegree as  $m_1^n$  is a 1-dimensional vector space over  $\mathbb{R}$  and since  $b_{i_1} m_1^{j_{1,1}} \dots m_s^{j_{1,s}}$  and  $m_1^n$  have the same multidegree, we get  $ub_{i_1} m_1^{j_{1,1}} \dots m_s^{j_{1,s}} = m_1^n$  for some  $u \in k \setminus \{0\}$ . Using the same argument as above, we get that for every  $v = 1, \dots, s$ ,  $m_v^n = c_{i_v} m_1^{j_{v,1}} \dots m_s^{j_{v,s}}$  with  $c_{i_v} \in I^{i_v}$ ,  $\sum_{k=1}^s j_{v,k} = n - i_v$ .

Since  $m_v^n$  is a monomial, we get (after cancelling out common terms) that  $m_v^{n_{1,v}} = c_{i_v} \prod_{k \neq v} m_k^{j_{v,k}}$  for some  $n_{1,v}$ . We note that  $0 \leq j_{v,k} < n_{1,v}$  for every  $v$  and  $k$ . Indeed if, for example,  $j_{2,1} = n_{1,1}$ , then  $m_1^{n_{1,1}} = c_{i_1} m_2^{n_{1,1}}$  with  $c_{i_1} \in k$ , whence  $\text{mdeg}(m_1^{n_{1,1}}) = \text{mdeg}(m_2^{n_{1,1}})$ , that is  $\text{mdeg}(m_1) = \text{mdeg}(m_2)$ . A contradiction.

Hence we have a system of  $s$  equalities

$$\begin{cases} m_1^{n_{1,1}} = c_{i_1} \prod_{k \neq 1} m_k^{j_{1,k}} \\ m_2^{n_{1,2}} = c_{i_2} \prod_{k \neq 2} m_k^{j_{2,k}} \\ \dots \\ m_s^{n_{1,s}} = c_{i_s} \prod_{k \neq s} m_k^{j_{s,k}} \end{cases}$$

We use an induction on  $s$  to prove that there exists  $N > 0$  such that  $m_i^N \in I^N$  for some  $i = 1, \dots, s$ . If  $s = 1$ , then  $m_1^{n_{1,1}} = c_{n_{1,1}} \in I^{n_{1,1}}$ . Suppose now such  $N$  exists for systems as above with  $s - 1$  equalities.

Consider the system above. For every  $v = 2, \dots, s$ , we first raise,  $m_v^{n_{1,v}}$  to  $n_{1,1}$  and  $m_1^{n_{1,1}}$  to  $j_{v,1}$ , then we substitute  $m_1^{n_{1,1}j_{v,1}}$  in  $m_v^{n_{1,v}n_{1,1}}$  with  $(c_{i_1} \prod_{k \neq 1} m_k^{j_{1,k}})^{j_{v,1}}$  and finally we cancel out common terms (it is easy to check that, by  $j_{v,k} < n_{1,v}$  for every  $v$  and  $k$ , we never cancel out  $m_v^{n_{1,v}n_{1,1}}$ ). Hence, for every  $v = 2, \dots, s$ , we get  $m_v^{n_{2,v}} = d_{i_v} \widehat{m_2^{k_{v,2}} \cdots m_v^{k_{v,v}} \cdots m_s^{k_{v,s}}}$  for some  $n_{2,v}$  and with  $d_{i_v} \in I^{n_{2,v} - (k_{v,2} + \cdots + k_{v,v-1} + k_{v,v+1} + \cdots + k_{v,s})}$  (where  $\widehat{m_v^{k_{v,v}}}$  means that we delete  $m_v^{k_{v,v}}$  in the product). Using induction we get the proof.

### 3.1 A geometric description

Our next aim is to have a geometric description of the integral closure of ideals in  $R$ .

Let  $a_i \in \mathbb{N}^d$ ,  $i = 1, \dots, r$  and let

$$\text{conv}(a_1, \dots, a_r) = \left\{ \sum_{i=1}^r \lambda_i a_i \mid \sum_{i=1}^r \lambda_i = 1, \lambda_i \in \mathbb{Q}_{\geq 0} \right\}$$

be the *convex hull* (over the rational numbers) of  $a_1, \dots, a_r$ .

For  $\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d$  we set  $x^\alpha = x_1^{\alpha_1} \cdots x_d^{\alpha_d}$ .

**Proposition 3.2.** *Let  $I$  be a monomial ideal in  $R$  generated by  $x^{a_1}, \dots, x^{a_r}$ . Then the exponents  $a$  such that a monomial  $x^a$  in  $R$  belongs to the integral closure  $\bar{I}$  are the integer points in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $I$ .*

*Proof.* Let  $x^a \in R$  such that  $a$  is in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $I$ . Hence  $a = \sum_{i=1}^r \lambda_i a_i$  with  $x^{a_i} \in I$ ,  $\sum_{i=1}^r \lambda_i = 1$  and  $\lambda_i \in \mathbb{Q}_{\geq 0}$ . Let  $m$  an integer such that  $m\lambda_i \in \mathbb{N}$  for every  $i = 1, \dots, r$ . Then, by  $\sum_{i=1}^r m\lambda_i = m$ , we get  $(x^a)^m = (x^{\sum_{i=1}^r \lambda_i a_i})^m = (x^{a_1})^{m\lambda_1} \cdots (x^{a_r})^{m\lambda_r} \in I^m$ . So  $x^a \in \bar{I}$ .

Vice versa if  $x^a \in \bar{I}$ , then, by the proof of Theorem 3.1, we get  $x^{am} \in I^m$ , that is  $x^{am} = x^{b_1} \cdots x^{b_m}$  with  $x^{b_i} \in I$ . By  $a = \sum_{i=1}^m \frac{1}{m} b_i$ , we get that  $a$  is in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $I$ .

## 4 Integral closure of powers of the graded maximal ideal $\mathfrak{m}$ in special cases

We recall that we are interested in monomial subrings  $R = k[h_1, h_2, \dots, h_m]$  of  $k[x_1, x_2, \dots, x_d]$  spanned by a finite set  $H$  of monomials and such that the complement to  $\mathbb{N}^d$  of the set of exponents of all power products in  $R$  is finite.  $R$  is a graded ring with graded maximal ideal  $\mathfrak{m} = (h_1, h_2, \dots, h_m)$ .

In this section we focus our attention to the study of the integral closure of powers of the graded maximal ideal  $\mathfrak{m}$  of  $R$  in two particular cases. We remark that, by definition of integral closure of an ideal,  $\mathfrak{m} = \overline{\mathfrak{m}}$ .

#### 4.1 The first case

In this first case we restrict to rings  $R = k + (x^{\delta_1}, \dots, x^{\delta_t})k[x]$ , subalgebras of  $k[x_1, x_2] = k[x]$  such that the complement to  $\mathbb{N}^2$  of the set of exponents of all power products in  $R$  is finite. Let  $\mathfrak{m}$  denote the graded maximal ideal of  $R$ . By  $\mathfrak{m} = (x^{\delta_1}, \dots, x^{\delta_t})k[x]$ , we get  $\mathfrak{m}^r = ((x^{\delta_1}, \dots, x^{\delta_t})k[x])^r = (x^{\delta_1}, \dots, x^{\delta_t})^r k[x]$ .

Let

$$a_1 = \min\{\alpha_1 \neq 0 \mid x_1^{\alpha_1} \in \mathfrak{m}\},$$

$$a_2 = \min\{\alpha_2 \neq 0 \mid x_1^\alpha x_2^{\alpha_2} \in \mathfrak{m}, \text{ for some } \alpha < a_1\}$$

As the complement to  $\mathbb{N}^2$  of the set of exponents of all power products in  $R$  is finite, such  $a_i$  exists for  $i = 1, 2$ . By definition of  $a_2$ , we get  $x_1^\gamma x_2^{\alpha_2} \in \mathfrak{m}$  for some positive integer  $\gamma < a_1$ .

**Proposition 4.1.** *Suppose that  $a_i \geq 2$ , for  $i = 1, 2$ . If there exists  $\gamma$  as above such that  $a_1 - \gamma \geq 2$ , then  $\overline{\mathfrak{m}^j} \setminus \mathfrak{m}^j \neq \emptyset$  for every  $j \geq 2$ .*

Proof. Let  $j \geq 2$  and let us consider  $m_j = x_1^{j a_1 - 1} x_2^{a_2 - 1}$ .

By definition of  $a_1$  and by  $j \geq 2$ , we have  $x_1^{j a_1 - 1} x_2^{a_2 - 1} \in R$ . Since  $a_1 - \gamma \geq 2$ ,  $x_1^{j a_1 - 2} x_2^{a_2} = x_1^{(j-1)a_1} (x_1^{a_1 - 2} x_2^{a_2}) \in \mathfrak{m}^j$ . Finally by  $a_2 \geq 2$ ,  $x_1^{j a_1} x_2^{a_2 - 2} \in \mathfrak{m}^j$ .

Since  $(j a_1 - 1, a_2 - 1) = \lambda_1(j a_1 - 2, a_2) + \lambda_2(j a_1, a_2 - 2)$  with  $\lambda_1 = \lambda_2 = 1/2$ , we get, by Proposition 3.2, that  $m_j \in \overline{\mathfrak{m}^j}$ . But  $m_j \notin \mathfrak{m}^j$  as, by definition of  $a_2$ ,  $x_1^\alpha x_2^{a_2 - 1} \in \mathfrak{m}^j$  only if  $\alpha \geq j a_1$ .

*Remark 4.2.* We can change the role of  $x_1$  with that one of  $x_2$  in Proposition 4.1. Indeed let

$$b_2 = \min\{\beta_2 \neq 0 \mid x_2^{\beta_2} \in \mathfrak{m}\},$$

$$b_1 = \min\{\beta_1 \neq 0 \mid x_1^{\beta_1} x_2^\beta \in \mathfrak{m}, \text{ for some } \beta < b_2\}.$$

As above such  $b_i$  exists for  $i = 0, 1$ . By definition of  $b_1$ , we get  $x_1^{b_1} x_2^\gamma \in \mathfrak{m}$  for some  $\gamma < b_2$ .

Using the same argument as for  $a_i$ , we get that if there exists  $\gamma$  as above such that  $b_2 - \gamma \geq 2$ , then  $x_1^{b_1 - 1} x_2^{j b_2 - 1} \in \overline{\mathfrak{m}^j} \setminus \mathfrak{m}^j$  for every  $j \geq 2$ .

*Example 4.3.* Let  $R = k + (x_2^9, x_1^2 x_2^7, x_1^3 x_2^6, x_1^5 x_2^3, x_1^9)k[x_1, x_2]$  and let us consider  $\mathfrak{m} = (x_2^9, x_1^2 x_2^7, x_1^3 x_2^6, x_1^5 x_2^3, x_1^9)k[x_1, x_2]$  the graded maximal ideal of  $R$  as in Figure 1. Then  $a_1 = 9, a_2 = 3, b_1 = 2, b_2 = 9$  and  $\{x_1^{j 9 - 1} x_2^2, x_1 x_2^{j 9 - 1}\} \subseteq \overline{\mathfrak{m}^j} \setminus \mathfrak{m}^j$  for every  $j \geq 2$ .

*Remark 4.4.* We can generalize Proposition 4.1 to the  $d$ -dimensional case. Let  $R = k + (x^{\delta_1}, \dots, x^{\delta_t})k[x]$  be a subalgebra of  $k[x_1, x_2, \dots, x_d] = k[x]$  and let

$$a_1 = \min\{\alpha_1 \neq 0 \mid x_1^{\alpha_1} \in \mathfrak{m}\}.$$

Suppose  $a_1 \geq 2$  and that there exists  $i$  with  $2 \leq i \leq d$  such that

$$a_i := \min\{\alpha_i \neq 0 \mid x_1^\alpha x_i^{\alpha_i} \in \mathfrak{m}, \text{ for some } \alpha < a_1\} \geq 2.$$

By definition of  $a_i$  there exists a positive integer  $\gamma_i < a_1$  such that  $x_1^{\gamma_i} x_i^{a_i} \in \mathfrak{m}$ .

Suppose  $a_1 - \gamma_i \geq 2$ . Since (for every  $j \geq 2$ )

$$(ja_1 - 1, 0, \dots, 0, a_i - 1, 0, \dots, 0) = \frac{1}{2}(ja_1 - 2, 0, \dots, 0, a_i, 0, \dots, 0) + \frac{1}{2}(ja_1, 0, \dots, 0, a_i - 2, 0, \dots, 0)$$

and by definition of  $a_i$ , we get that for every  $j \geq 2$  the element  $x_1^{ja_1-1} x_i^{a_i-1} \in \overline{\mathfrak{m}^j} \setminus \mathfrak{m}^j$ .

It is straightforward to change the role of  $x_1$  with that one of each  $x_i$ ,  $i \in \{2, \dots, d\}$ .

*Example 4.5.* Let  $R = k + (x_1^8, x_2^3, x_1^4 x_3^5, x_3^9)k[x_1, x_2, x_3]$  and let us consider  $\mathfrak{m} = (x_1^8, x_2^3, x_1^4 x_3^5, x_3^9)k[x_1, x_2, x_3]$  the graded maximal ideal of  $R$ .

Then  $a_1 = 8$ ,  $a_2 = 3$  and  $a_3 = 5$  and, by Remark 4.4,  $x_1^{8j-1} x_2^3, x_1^{8j-1} x_3^4 \in \overline{\mathfrak{m}^j} \setminus \mathfrak{m}^j$  for every  $j \geq 2$ .

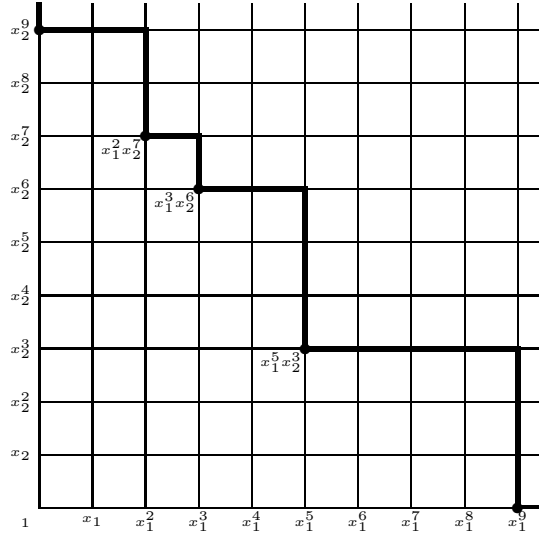


FIGURE 1

Let us come back to the 2-dimensional case, that is  $R$  subalgebras of  $k[x_1, x_2]$ .

Our next aim is to show that if a power  $t$  (with  $t \geq 2$ ) of the graded maximal ideal  $\mathfrak{m}$  is integrally closed, then every other power  $l$ , with  $l \geq t$ , of  $\mathfrak{m}$  is integrally

closed (cf. Theorem 4.11). As corollaries to this we give a characterization and a sufficient condition for  $\mathfrak{m}$  to be normal (cf. Corollaries 4.13 and 4.15).

To this aim we need a little amount of work. From now on we always denote any power of  $\mathfrak{m}$  by  $J$ .

We note that if  $x_1^{a_i} x_2^{b_i}$  and  $x_1^{a_j} x_2^{b_j}$  are different minimal generators of  $J$  as a  $k[x]$ -module, then  $a_i \neq a_j$  and  $b_i \neq b_j$  and, furthermore,  $a_i < a_j$  implies  $b_i > b_j$ . We say that  $(a_i, b_i) \prec (a_j, b_j)$  in  $\mathbb{N}^2$  if  $a_i < a_j$ .

Let  $x_1^{a_i} x_2^{b_i}$  and  $x_1^{a_j} x_2^{b_j}$  be two generators of  $J$  as a  $k[x]$ -module with  $(a_i, b_i) \prec (a_j, b_j)$  and with the property that if  $x_1^\alpha x_2^\beta$  is any other element of  $J$ , then

$$(b_j - b_i)\alpha + (a_i - a_j)\beta + a_i(b_i - b_j) + b_i(a_j - a_i) \geq 0$$

that is,  $(\alpha, \beta)$  is not under the straight line in  $\mathbb{R}^2$  connecting  $(a_i, b_i)$  and  $(a_j, b_j)$ .

We call the pair  $(a_i, b_i)(a_j, b_j)$  of elements of  $\mathbb{N}^2$  as above *special pair of generators of  $J$  as a  $k[x]$ -module*, ( $\text{spg}(J)$ ).

*Example 4.6.* Let  $R = k + (x_2^7, x_1^2 x_2^5, x_1^3 x_2^4, x_1^5 x_2, x_1^7)k[x_1, x_2]$  and consider  $\mathfrak{m} = (x_2^7, x_1^2 x_2^5, x_1^3 x_2^4, x_1^5 x_2, x_1^7)k[x_1, x_2]$  the graded maximal ideal of  $R$  as in Figure 2. It is easy to check that the only  $\text{spg}(\mathfrak{m})$  are  $(0, 7)(5, 1)$  and  $(5, 1)(7, 0)$ .

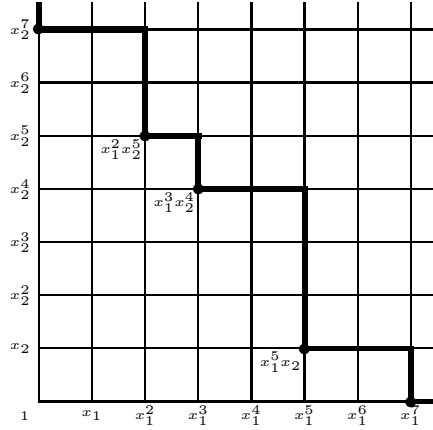


FIGURE 2

**Lemma 4.7.** *Let  $J$  be generated by  $x_1^{a_1} x_2^{b_1}, x_1^{a_2} x_2^{b_2}, \dots, x_1^{a_r} x_2^{b_r}$  as a  $k[x]$ -module with  $(a_1, b_1) \prec (a_2, b_2) \prec \dots \prec (a_r, b_r)$ . Then it is possible to choose  $(a_{i_1}, b_{i_1}) \prec (a_{i_2}, b_{i_2}) \prec \dots \prec (a_{i_s}, b_{i_s})$  among the elements of  $\mathbb{N}^2$  as above such that  $(a_{i_1}, b_{i_1})(a_{i_2}, b_{i_2}), (a_{i_2}, b_{i_2})(a_{i_3}, b_{i_3}), \dots, (a_{i_{s-1}}, b_{i_{s-1}})(a_{i_s}, b_{i_s})$  are  $\text{spg}(J)$  with  $(a_{i_1}, b_{i_1}) = (a_1, b_1)$  and  $(a_{i_s}, b_{i_s}) = (a_r, b_r)$*

*Proof.* Since the complement to  $\mathbb{N}^2$  of the set of exponents of all power products in  $R$  is finite, then  $a_1 = 0 = b_r$ . If  $(a_1, b_1)(a_i, b_i)$  is not a  $\text{spg}(J)$  for



every  $i = 2, \dots, r-1$ , then (by definition of  $\text{spg}(J)$ )  $(a_1, b_1)(a_r, b_r)$  is a  $\text{spg}(J)$  and we get the proof.

Hence suppose there exists  $i_1 < r$  such that  $(a_1, b_1)(a_{i_1}, b_{i_1})$  is a  $\text{spg}(J)$ . As above, if  $(a_{i_1}, b_{i_1})(a_k, b_k)$  is not a  $\text{spg}(J)$  for every  $k = i_1 + 1, \dots, r-1$ , then  $(a_{i_1}, b_{i_1})(a_r, b_r)$  is a  $\text{spg}(J)$  and we get the proof. If not, using the same argument as above we get, after a finite number of steps (as the number of generators of  $J$  as a  $k[x]$ -module is finite), the proof.

**Lemma 4.8.** *Let  $(a_i, b_i)(a_j, b_j)$  be a  $\text{spg}(\mathfrak{m})$ , then  $(la_i, lb_i)(la_j, lb_j)$  is a  $\text{spg}(\mathfrak{m}^l)$ .*

Proof. Since  $(la_i, lb_i) < (la_j, lb_j)$  whenever  $(a_i, b_i) < (a_j, b_j)$ , to get the proof we need that if  $x_1^\alpha x_2^\beta \in \mathfrak{m}^l$ , then  $(\alpha, \beta)$  is not under the straight line in  $\mathbb{R}^2$  connecting  $(la_i, lb_i)$  and  $(la_j, lb_j)$  and that  $x_1^{la_i} x_2^{lb_i}$  and  $x_1^{la_j} x_2^{lb_j}$  are generators for  $\mathfrak{m}^l$  as a  $k[x]$ -module.

Let  $x_1^\alpha x_2^\beta \in \mathfrak{m}^l$ , hence  $(\alpha, \beta) = \sum_{k=1}^l (\alpha_k, \beta_k)$  and  $x_1^{\alpha_k} x_2^{\beta_k} \in \mathfrak{m}$ .

Since  $(a_i, b_i)(a_j, b_j)$  is a  $\text{spg}(\mathfrak{m})$ ,

$$(b_j - b_i)\alpha_k + (a_i - a_j)\beta_k + a_i(b_i - b_j) + b_i(a_j - a_i) \geq 0$$

for every  $k = 1, \dots, l$ . Hence

$$\begin{aligned} & (lb_j - lb_i) \sum_{k=1}^l \alpha_k + (la_i - la_j) \sum_{k=1}^l \beta_k + la_i(lb_i - lb_j) + lb_i(la_j - la_i) = \\ & l[(b_j - b_i) \sum_{k=1}^l \alpha_k + (a_i - a_j) \sum_{k=1}^l \beta_k + l[a_i(b_i - b_j) + b_i(a_j - a_i)]] = \\ & l[(b_j - b_i)\alpha_1 + (a_i - a_j)\beta_1 + a_i(b_i - b_j) + b_i(a_j - a_i) + \dots + \\ & (b_j - b_i)\alpha_l + (a_i - a_j)\beta_l + a_i(b_i - b_j) + b_i(a_j - a_i)] \geq 0. \end{aligned}$$

Suppose  $x_1^{la_j} x_2^{lb_j}$  is not a generator for  $\mathfrak{m}^l$  as a  $k[x]$ -module, then there exists  $x_1^a x_2^b \in \mathfrak{m}^l$  such that either  $a = la_j$  and  $b < lb_j$  or  $a < la_j$  and  $b = lb_j$ . Since, in this case,  $(a, b)$  is under the straight line in  $\mathbb{R}^2$  connecting  $(la_i, lb_i)$  and  $(la_j, lb_j)$ , we get

$$(lb_j - lb_i)a + (la_i - la_j)b + la_i(lb_i - lb_j) + lb_i(la_j - la_i) < 0$$

that is a contradiction to what we proved above, since if  $x_1^\alpha x_2^\beta \in \mathfrak{m}^l$ , then  $(\alpha, \beta)$  can not be under the straight line in  $\mathbb{R}^2$  connecting  $(la_i, lb_i)$  and  $(la_j, lb_j)$ .

**Corollary 4.9.** *If  $(a_{i_1}, b_{i_1}) < (a_{i_2}, b_{i_2}) < \dots < (a_{i_s}, b_{i_s})$  are as in Lemma 4.7 with  $J = \mathfrak{m}$ , then  $(la_{i_1}, lb_{i_1})(la_{i_2}, lb_{i_2}), (la_{i_2}, lb_{i_2})(la_{i_3}, lb_{i_3}), \dots, (la_{i_{s-1}}, lb_{i_{s-1}})(la_{i_s}, lb_{i_s})$  are  $\text{spg}(\mathfrak{m}^l)$  (with  $(la_{i_1}, lb_{i_1}) = (la_1, lb_1)$  and  $(la_{i_s}, lb_{i_s}) = (la_r, lb_r)$ ).*

*Remark 4.10.* Let  $(la_i, lb_i)(la_j, lb_j)$  be a  $\text{spg}(\mathfrak{m}^l)$  and let  $r(X, Y) = (b_j - b_i)X + (a_i - a_j)Y + l[a_i(b_i - b_j) + b_i(a_j - a_i)] = 0$  the straight line in  $\mathbb{R}^2$  connecting  $(la_i, lb_i)$  and  $(la_j, lb_j)$ . It is straightforward to prove that for every  $k$  such that  $0 \leq k < l$ , the integer point  $((l-k)a_i, (l-k)b_i) + (ka_j, kb_j) = ((l-k)a_i + ka_j, (l-k)b_i + kb_j)$  is in the straight line in  $\mathbb{R}^2$  with equation  $r(X, Y)$ .

Let  $\mathfrak{m} = (x_1^{a_1} x_2^{b_1}, \dots, x_1^{a_r} x_2^{b_r})k[x_1, x_2]$  and  $x^\gamma \in \overline{\mathfrak{m}^l} \setminus \mathfrak{m}^l$  (hence  $l \geq 2$ ) with  $\gamma = (\gamma_1, \gamma_2)$ . By Proposition 3.2,  $\gamma$  is an integer point in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $\mathfrak{m}^l$ . Hence, by Corollary 4.9, there exists  $(la_i, lb_i)(la_{i+1}, lb_{i+1}) \text{ spg}(\mathfrak{m}^l)$  such that  $(\gamma_1, \gamma_2)$  is not under the straight line in  $\mathbb{R}^2$  connecting  $(la_i, lb_i)$  and  $(la_{i+1}, lb_{i+1})$  and such that  $la_i < \gamma_1 < la_{i+1}$ .

So  $\gamma$  is in the triangle in  $\mathbb{R}^2$  with vertices  $(la_i, lb_i), (la_{i+1}, lb_{i+1}), (la_{i+1}, lb_i)$  (we note that  $\gamma$  cannot be out of the triangle since  $x^\gamma \notin \mathfrak{m}^l$  and  $x_1^{la_i} x_2^{lb_i}, x_1^{la_{i+1}} x_2^{lb_{i+1}}$  are generators for  $\mathfrak{m}^l$  as a  $k[x]$ -module by the proof of Lemma 4.8).

Finally, since  $\gamma$  is in the triangle in  $\mathbb{R}^2$  with vertices  $(la_i, lb_i), (la_{i+1}, lb_{i+1}), (la_{i+1}, lb_i)$  and since (cf. Remark 4.10), for every  $k$  with  $1 \leq k < l$ ,  $((l-k)a_i, (l-k)b_i) + (ka_j, kb_j)$  is in the straight line connecting  $(la_i, lb_i)$  and  $(la_{i+1}, lb_{i+1})$ , we get

$$\begin{aligned} &\gamma \text{ is in the triangle in } \mathbb{R}^2 \text{ with vertices} \\ &(la_i, lb_i), ((l-k)a_i, (l-k)b_i) + (ka_j, kb_j), ((l-k)a_i + ka_j, lb_i) \end{aligned}$$

or

$$\begin{aligned} &\gamma \text{ is in the triangle in } \mathbb{R}^2 \text{ with vertices} \\ &((l-k)a_i, (l-k)b_i) + (ka_j, kb_j), (la_j, lb_j), (la_j, (l-k)b_i + kb_j). \end{aligned}$$

**Theorem 4.11.** *Let  $\mathfrak{m} = (x_1^{a_1} x_2^{b_1}, \dots, x_1^{a_r} x_2^{b_r})k[x_1, x_2]$  and suppose there exists  $t \geq 2$  such that  $\mathfrak{m}^t = \overline{\mathfrak{m}^t}$ . Then  $\mathfrak{m}^l = \overline{\mathfrak{m}^l}$  for every  $l \geq t$ .*

*Proof.* It is enough to prove that if  $\mathfrak{m}^t = \overline{\mathfrak{m}^t}$  with  $t \geq 2$ , then  $\mathfrak{m}^{t+1} = \overline{\mathfrak{m}^{t+1}}$ .

Suppose  $x^\gamma \in \overline{\mathfrak{m}^{t+1}} \setminus \mathfrak{m}^{t+1}$  and let  $l = t+1$  (hence  $l \geq 3$ ). By what is written above, for a fixed  $k$  with  $1 \leq k < l$  we have either

$$\begin{aligned} &\gamma \text{ is in the triangle in } \mathbb{R}^2 \text{ with vertices} \\ &(la_i, lb_i), ((l-k)a_i, (l-k)b_i) + (ka_j, kb_j), ((l-k)a_i + ka_j, lb_i) \end{aligned}$$

or

$$\begin{aligned} &\gamma \text{ is in the triangle in } \mathbb{R}^2 \text{ with vertices} \\ &((l-k)a_i, (l-k)b_i) + (ka_j, kb_j), (la_j, lb_j), (la_j, (l-k)b_i + kb_j). \end{aligned}$$

Let us consider the first case. Hence

$$(\gamma_1, \gamma_2) = \lambda_1(la_i, lb_i) + \lambda_2((l-k)a_i + ka_j, (l-k)b_i + kb_j) + \lambda_3((l-k)a_i + ka_j, lb_i),$$

$$\lambda_1 + \lambda_2 + \lambda_3 = 1, \lambda_i \in \mathbb{Q}_{\geq 0}.$$

Let  $\delta = (\delta_1, \delta_2) = (\gamma_1, \gamma_2) - (a_i, b_i)$ . Since  $x^\gamma \notin \mathfrak{m}^l$ , necessary  $x^\delta \notin \mathfrak{m}^{l-1}$ . But

$$\delta = (\delta_1, \delta_2) = \lambda_1((l-1)a_i, (l-1)b_i) + \lambda_2((l-k-1)a_i + ka_j, (l-k-1)b_i + kb_j) +$$

$$\lambda_3((l-k-1)a_i + ka_j, (l-1)b_i), \lambda_1 + \lambda_2 + \lambda_3 = 1, \lambda_i \in \mathbb{Q}_{\geq 0},$$

hence

$\delta$  is in the triangle in  $\mathbb{R}^2$  with vertices  $((l-1)a_i, (l-1)b_i), ((l-k-1)a_i, (l-k-1)b_i) + (ka_j, kb_j), ((l-k-1)a_i + ka_j, (l-1)b_i)$ ,

that is  $\delta$  is an integer point in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $\mathfrak{m}^{l-1}$ . By  $x^\delta \in R$  and Proposition 3.2, we get  $x^\delta \in \overline{\mathfrak{m}^{l-1}} = \mathfrak{m}^{l-1}$ . Absurd.

Similarly we get the proof for the other case.

The statement of Theorem 4.11 is, in general, not true for other kind of rings  $R$  as in the Introduction (cf. Remark 4.33).

*Remark 4.12.* We note that it is not true in general that if  $\mathfrak{m}^t = \overline{\mathfrak{m}^t}$ , then  $\mathfrak{m}^l = \overline{\mathfrak{m}^l}$  for some  $l < t$ . Indeed, let  $n \geq 1$  and  $R = k + (x_1^n, x_1^{n-1}x_2, x_1x_2^{n-1}, x_2^n)k[x_1, x_2]$ . In Remark 4.20 we show that  $\overline{\mathfrak{m}^k} = \mathfrak{m}^k$  if and only if  $k \geq n - 2$ .

As corollary to Theorem 4.11 and by  $\mathfrak{m} = \overline{\mathfrak{m}}$ , we get a criterion for  $\mathfrak{m}$  to be normal.

**Corollary 4.13.** *The graded maximal ideal  $\mathfrak{m}$  is normal if and only if  $\mathfrak{m}^2 = \overline{\mathfrak{m}^2}$ .*

*Example 4.14.* Let  $R = k + (x_2^8, x_1x_2^6, x_1^2x_2^5, x_1^4x_2^4, x_1^8x_2^2, x_1^9x_2, x_1^{11})k[x_1, x_2]$  as in Figure 4. By Proposition 3.2,

$$\mathfrak{m}^2 = (x_2^{16}, x_1x_2^{14}, x_1^2x_2^{12}, x_1^3x_2^{11}, x_1^4x_2^{10}, x_1^6x_2^9, x_1^8x_2^8, x_1^{10}x_2^7, x_1^{11}x_2^6, x_1^{13}x_2^5, x_1^{15}x_2^4, x_1^{17}x_2^3, x_1^{18}x_2^2, x_1^{20}x_2, x_1^{22})k[x_1, x_2] = \overline{\mathfrak{m}^2}$$

and, by Corollary 4.13, we get that  $\mathfrak{m}$  is normal.

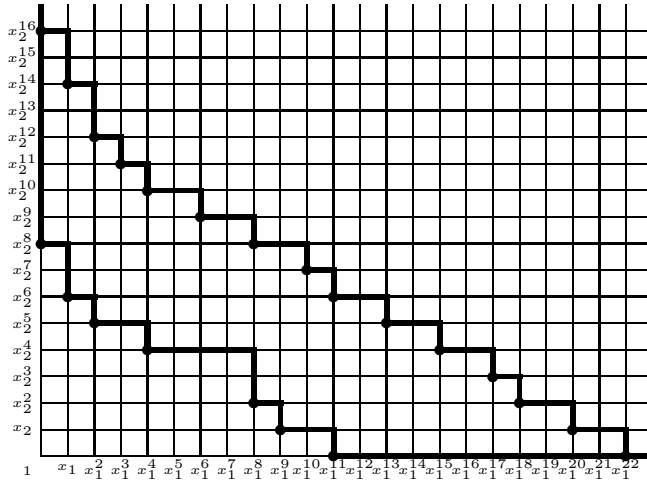


FIGURE 4

We note that in the Example 4.14,  $x_1^7 x_2^3 \notin R$ , while  $(7, 3)$  is an integer point in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $\mathfrak{m}$ .

By the proof of Theorem 4.11, we get the following corollary.

**Corollary 4.15.** *If for every integer point  $a$  in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $\mathfrak{m}$ , we get  $x^a \in \mathfrak{m}$ , then  $\mathfrak{m}$  is normal.*

*Example 4.16.* Let be  $k + (x_2^7, x_1^2 x_2^6, x_1^3 x_2^5, x_1^7 x_2^4, x_1^{11} x_2^3, x_1^{14} x_2^2, x_1^{18} x_2, x_1^{22})k[x_1, x_2]$ . Since for every integer point  $a$  in the convex hull of the union of the set  $b + \mathbb{N}^d$ , where  $b$  is an exponent of an element in  $\mathfrak{m} = (x_2^7, x_1^2 x_2^6, x_1^3 x_2^5, x_1^7 x_2^4, x_1^{11} x_2^3, x_1^{14} x_2^2, x_1^{18} x_2, x_1^{22})k[x_1, x_2]$ , we get  $x^a \in \mathfrak{m}$ , then, by Corollary 4.15,  $\mathfrak{m}$  is normal.

#### 4.1.1 A class of examples

Let  $\mathfrak{n} = (x_1, x_2)$  be the graded maximal ideal of the polynomial ring  $k[x_1, x_2] = k[x]$ . We look for rings  $R = k + (x^{\delta_1}, \dots, x^{\delta_t})k[x]$  with graded maximal ideal  $\mathfrak{m} = (x^{\delta_1}, \dots, x^{\delta_t})k[x]$  such that  $\mathfrak{m}^2 = \mathfrak{n}^{2n}$  ( $n \geq 1$ ). Indeed by Proposition 3.2 and by Corollary 4.13, we have that  $\mathfrak{m}$  is normal.

Let  $a_1 = \min\{\alpha_1 \neq 0 \mid x_1^{\alpha_1} \in \mathfrak{m}\}$  and  $b_2 = \min\{\beta_2 \neq 0 \mid x_2^{\beta_2} \in \mathfrak{m}\}$  as at the beginning of Subsection 3.1. By  $\mathfrak{m}^2 = \mathfrak{n}^{2n} = (x_1, x_2)^{2n}$ , we necessarily have that  $a_1 = n = b_2$  and that, if  $x^r = x_1^{r_1} x_2^{r_2}$  is a generator for  $\mathfrak{m}$  as a  $k[x]$ -module, then  $r_1 + r_2 \geq n$ . Furthermore if  $r_1 + r_2 > n$ , then this generator is uninteresting in our discussion as if  $(x^{\delta_1}, \dots, x^{\delta_t})^2 k[x] = (x_1, x_2)^{2n}$  then  $\mathfrak{a} := (\{x^{\delta_1}, \dots, x^{\delta_t}\} \setminus \{x^r\})^2 k[x]$  is equal to  $(x_1, x_2)^{2n}$ . Indeed if there exists  $x_1^{c_1} x_2^{c_2} \in \mathfrak{m}^2 \setminus \mathfrak{a}$  with  $x_1^{c_1} x_2^{c_2}$  generator for  $\mathfrak{m}^2$  as a  $k[x]$ -module, then  $x_1^{c_1} x_2^{c_2} = (x_1^{r_1} x_2^{r_2})(x_1^{b_1} x_2^{b_2})$ . This is absurd as  $2n = c_1 + c_2 = r_1 + r_2 + b_1 + b_2 > 2n$ . Hence we can assume  $r_1 + r_2 = n$

Finally by Proposition 4.1 and Remark 4.2,  $x_1^{n-1} x_2, x_1 x_2^{n-1} \in R$ . Moreover, since we can suppose  $r_1 + r_2 = n$  whenever  $x^r = x_1^{r_1} x_2^{r_2}$  is a generator for  $\mathfrak{m}$  as a  $k[x]$ -module, we have that  $x_1^{n-1} x_2, x_1 x_2^{n-1}$  are generators of  $\mathfrak{m}$  as a  $k[x]$ -module.

By what is written above we can translate the problem to a merely combinatorial problem just considering the powers of the  $x_2$ 's in the generators of  $\mathfrak{m}$  as a  $k[x]$ -module. Indeed we look for a class of sets  $X$  with  $\{0, 1, n-1, n\} \subseteq X \subseteq \{0, 1, \dots, n\}$  such that  $2X := X + X = \{0, 1, \dots, 2n\}$ .

From now on, given two integers  $a$  and  $b$  with  $a \leq b$ , we denote the set of integers between  $a$  and  $b$  (included) by  $[a, b]$ .

**Proposition 4.17.** *Let  $X = \{0, 1, \dots, h_1 - 1, h_1, h_2, \dots, h_z = n - h_1, n - h_1 + 1, \dots, n\}$  with  $h_1 \geq 1$  and  $h_{i+1} - h_i \leq h_1 + 1$  for every  $i \in [1, z - 1]$  (\*). Then  $2X = [0, 2n]$ .*

*Proof.* We show that for every  $x \in [0, 2n]$ , there exist  $x_1, x_2 \in X$  such that  $x_1 + x_2 = x$ .

If  $x \in [0, h_1]$ , then  $x_1 = x$  and  $x_2 = 0$ .

If  $x \in [h_1, h_z]$ , then there exists  $i$  such that  $h_i \leq x \leq h_{i+1}$ . If  $x = h_i$  or  $x = h_{i+1}$ , then  $x_1 = x$  and  $x_2 = 0$ . Suppose hence that  $h_i < x < h_{i+1}$ , that is

$h_i + 1 \leq x \leq h_{i+1} - 1$ . By (\*),  $h_{i+1} - 1 \leq h_i + h_1$ , hence  $h_i + 1 \leq x \leq h_i + h_1$ . So  $x = h_i + h$  with  $0 \leq h \leq h_1$  and we can assume  $x_1 = h_i$  and  $x_2 = h$ .

If  $x \in [h_z, n]$ , then  $x_1 = x$  and  $x_2 = 0$ .

If  $x \in [n, n + h_1]$ , then  $x = n + h$  with  $0 \leq h \leq h_1$ . Hence  $x_1 = n$  and  $x_2 = h$ .

If  $x \in [n + h_1, n + h_z]$ , then there exists  $i$  such that  $n + h_i \leq x \leq n + h_{i+1}$ . If  $x = n + h_i$ , then  $x_1 = n$  and  $x_2 = h_i$ . Suppose hence that  $n + h_i < x \leq n + h_{i+1}$ . So  $n + h_i - h_{i+1} < x - h_{i+1} \leq n$ . By (\*),  $n - h_1 - 1 \leq n + h_i - h_{i+1}$  and this implies  $n - h_1 - 1 < x - h_{i+1} \leq n$ . Hence  $x - h_{i+1} \in X$  and we can assume  $x_1 = h_{i+1}$  and  $x_2 = x - h_{i+1}$ .

Finally if  $x \in [n + h_z, 2n] = [2n - h_1, 2n]$ , then  $x = 2n - h_1 + h$  with  $0 \leq h \leq h_1$ . Since  $n - h_1 \leq n - h_1 + h \leq n$ , then  $n - h_1 - h \in X$ . Hence  $x_1 = n - h_1 + h$  and  $x_2 = n$ .

**Corollary 4.18.** *The graded maximal ideal  $\mathfrak{m}$  of  $R = k + (x_1^n, x_1^{n-1}x_2, \dots, x_1^{n-h_1}x_2^{h_1}, x_1^{n-h_2}x_2^{h_2}, \dots, x_1^{n-h_z}x_2^{h_z}, x_1^{h_1-1}x_2^{n-h_1+1}, \dots, x_2^n)k[x_1, x_2]$  with  $h_1 \geq 1$  and  $h_{i+1} - h_i \leq h_1 + 1$  for every  $i \in [1, z - 1]$  is normal.*

We now generalize Proposition 4.17. Indeed we look for rings  $R$  with graded maximal ideal  $\mathfrak{m}$  such that  $\mathfrak{m}^k = \overline{\mathfrak{m}^k}$ , ( $n \geq 1$ ). By Proposition 3.2 and by Theorem 4.11, this implies  $\mathfrak{m}^l = \overline{\mathfrak{m}^l}$  for every  $l \geq k$ .

As above we have that  $a_1 = n = b_2$ , that  $x_1^{n-1}x_2, x_1x_2^{n-1} \in R$  are generators of  $\mathfrak{m}$  as a  $k[x]$ -module and that if  $x_1^{r_1}x_2^{r_2}$  is a generator for  $\mathfrak{m}$  as a  $k[x]$ -module, then we can assume  $r_1 + r_2 = n$ . Hence we look for a class of sets  $X$  with  $\{0, 1, n - 1, n\} \subseteq X \subseteq [0, n]$  such that  $kX = [0, kn]$ .

**Proposition 4.19.** *Let  $X$  be a set with  $\{0, 1, n - 1, n\} \subseteq X \subseteq [0, n]$  and such that  $pX = [0, pn]$ . Then for every  $q \geq p$ ,  $qX = [0, qn]$ .*

*Proof.* It is enough to prove the proposition for  $q = p + 1$ . By  $[0, (p + 1)n] = \{\{0\} + pX\} \cup \{\{n\} + pX\} \subseteq (p + 1)X$ , we get the proof.

*Remark 4.20.* We note that if  $X = \{0, 1, n - 1, n\}$ , then  $kX = [0, kn]$  if and only if  $k \geq n - 2$ . Indeed for every  $i = 0, \dots, n - 2$ ,  $[i(n - 1) + (n - i - 2) \cdot 0, in + (n - i - 2) \cdot 1] = [in - i, (i + 1)n - (i + 2)] \subseteq (n - 2)X$ . Hence  $[0, (n - 2)n] = \bigcup_{i=0}^{n-2} [in - i, (i + 1)n - (i + 2)] \subseteq (n - 2)X$ . Moreover  $n - 2 \notin \alpha X$  when  $\alpha \leq n - 3$ .

In particular, if  $k \geq n - 2$ , then  $kX = [0, kn]$  for every set  $X$  such that  $\{0, 1, n - 1, n\} \subseteq X \subseteq [0, n]$ . Hence if  $\mathfrak{m}$  is the graded maximal ideal of  $R$  for which  $x_1^n, x_1^{n-1}x_2, x_1x_2^{n-1}, x_2^n$  are part of a set of generators for  $\mathfrak{m}$  as a  $k[x]$ -module, then  $\overline{\mathfrak{m}^k} = \mathfrak{m}^k$  for every  $k \geq n - 2$ .

As a particular case of Proposition 4.19 we get that the class of set  $X$  as in Proposition 4.17, satisfies  $kX = [0, kn]$  for every  $k \geq 2$ .

Now we find a class of sets  $X$  such that  $kX = [0, kn]$  but, in general,  $(k - 1)X \neq [0, (k - 1)n]$ . To this aim we generalize the class  $X$  of Proposition 4.17.

**Proposition 4.21.** *Let  $X = \{0, 1, \dots, h_1 - 1, h_1, h_2, \dots, h_z = n - h_1, n - h_1 - 1, \dots, n\}$  such that  $h_1 \geq 2$  and  $h_{i+1} - h_i \leq h_1 + k - 1$  for every  $i \in [1, z - 1]$  (\*\*). Then  $kX = [0, kn]$ .*

Proof. The case  $k = 2$  is Proposition 4.17. We suppose hence  $k \geq 3$ .

Let  $Y := \{0, 1, \dots, h_1, n - h_1, \dots, n\} \subseteq X$ . So  $kY = \{0, \dots, kh_1, n - h_1, \dots, n + (k - 1)h_1, 2(n - h_1), \dots, 2n + (k - 2)h_1, \dots, k(n - h_1), \dots, kn\} \subseteq kX$ . To get the proof we need to cover all the holes in  $kX$  between  $in + (k - i)h_1$  and  $(i + 1)(n - h_1)$  for every  $0 \leq i \leq k - 1$ .

We start covering all the holes in  $kX$  between  $kh_1$  and  $n - h_1$ . We note that  $(k - 1)Y = \{0, \dots, (k - 1)h_1, n - h_1, \dots, n + (k - 2)h_1, 2(n - h_1), \dots, 2n + (k - 3)h_1, \dots, (k - 1)(n - h_1), \dots, (k - 1)n\} \subseteq (k - 1)X$ .

For every  $l \in [1, z - 1]$  we have  $\{h_l\} + [0, (k - 1)h_1] = [h_l, h_l + (k - 1)h_1] \subseteq kX$ . By (\*\*) and by  $h_1 \geq 2$  and  $k \geq 3$ , we get  $h_{l+1} \leq h_l + (k - 1)h_1$ . Hence  $\{h_1, h_2, \dots, h_z\} + [0, (k - 1)h_1] = \{h_1, h_1 + 1, \dots, h_z + (k - 1)h_1\} = [h_1, h_z + (k - 1)h_1] \subseteq kX$ .

By  $k \geq 3$  and  $h_z = n - h_1$ , we get  $h_1 \leq kh_1$  and  $n - h_1 \leq h_z + (k - 1)h_1$ , that is  $[kh_1, n - h_1] \subseteq [h_1, h_z + (k - 1)h_1]$  and we have covered all the holes in  $kX$  between  $kh_1$  and  $n - h_1$ .

Making exactly the same sort of calculus as above you can check that  $\{h_1, h_2, \dots, h_z\} + [i(n - h_1), in + (k - i - 1)h_1]$  covers all the holes in  $kX$  between  $in + (k - i)h_1$  and  $(i + 1)(n - h_1)$  for every  $1 \leq i \leq k - 1$ . Hence  $[0, kn] = kX$ .

**Corollary 4.22.** *Let  $R = k + (x_1^n, x_1^{n-1}x_2, \dots, x_1^{n-h_1}x_2^{h_1}, x_1^{n-h_2}x_2^{h_2}, \dots, x_1^{n-h_z}x_2^{h_z}, x_1^{h_1-1}x_2^{n-h_1+1}, \dots, x_2^n)k[x_1, x_2]$  with  $h_1 \geq 2$ ,  $h_{i+1} - h_i \leq h_1 + k - 1$  for every  $i \in [1, z - 1]$ . Then for every  $l \geq k$ , the  $l$ -th power of the graded maximal ideal  $\mathfrak{m}$  of  $R$  is integrally closed.*

*Remark 4.23.* We note that  $h_1$  in Proposition 4.21 must be greater than 1. Indeed if  $X = \{0, 1, 4, 7, 9, 10\}$  (hence  $n = 10$ ,  $k = 3$  and  $h_1 = 1$ ), then  $22, 25 \notin 3X$ .

*Remark 4.24.* If  $X$  is a set in the class as in Proposition 4.21, then we know  $kX = [0, kn]$ . Anyway in general  $(k - 1)X \neq [0, (k - 1)n]$  (in particular the converse to Proposition 4.19 does not hold). Indeed let  $X = \{0, 1, 2, 6, 10, 12, 13, 14\}$  (hence  $n = 14$ ,  $h_1 = 2$  and  $k = 3$ ). By Proposition 4.21,  $3X = [0, 3n] = [0, 42]$ . Anyway  $5, 9, 17 \notin 2X$ .

Let  $V = [0, n]$  and  $k \geq 2$  and let us consider the collection  $\Delta_k$  of subset of  $V$  such that  $F \in \Delta_k$  if and only if  $0, 1, n - 1, n \notin F$  and  $k(V \setminus F) = [0, kn]$ . Since  $F \in \Delta_k$  whenever  $F \subseteq G$  for some  $G \in \Delta_k$  and since  $\{i\} \in \Delta_k$  for every  $i \in V \setminus \{0, 1, n - 1, n\}$ , then  $\Delta_k$  is a (finite) simplicial complex on  $V \setminus \{0, 1, n - 1, n\}$ .

We first find a lower and an upper bound for  $\dim \Delta_2 := \sup\{\dim(F) \mid F \in \Delta_2\}$ , where  $\dim(F) := |F| - 1$ .

**Theorem 4.25.**  $\dim \Delta_2 \leq n - \lceil \frac{-1 + \sqrt{16n + 9}}{2} \rceil$ . Furthermore, if  $n \geq 4$  then  $n - \sqrt{8n} + 1 \leq \dim \Delta_2$ .

Proof. Let  $F$  be a face in  $\Delta_2$  with  $|V \setminus F| = m$ . As  $F$  is a face,  $|2(V \setminus F)| = |[0, 2n]| = 2n + 1$ . Since there are no more than  $\frac{(m-1)m}{2}$  sums of mutually different numbers from  $X$  along with no more than  $m$  sums of equal numbers, we get that  $2n + 1 \leq \frac{m(m+1)}{2}$ , hence  $m \geq \lceil \frac{-1 + \sqrt{16n+9}}{2} \rceil$ . So  $\dim \Delta_2 \leq ((n+1) - \lceil \frac{-1 + \sqrt{16n+9}}{2} \rceil) - 1 = n - \lceil \frac{-1 + \sqrt{16n+9}}{2} \rceil$ .

Let now  $n \geq 4$  and let us consider  $X = \{0, 1, \dots, h_1 - 1, h_1, h_2, \dots, h_z = n - h_1, n - h_1 + 1, \dots, n\}$  with  $h_1 \geq 1$ ,  $h_{i+1} - h_i = h_1 + 1$  for every  $i \in [1, z-2]$  (note that  $z-2 \geq 1$  as  $n \geq 4$ ) and  $h_z - h_{z-1} \leq h_1 + 1$ . By Proposition 4.17,  $2X = [0, 2n]$ . Since in  $X$  we exclude  $2(h_1 + 1) + \lfloor \frac{n-2(h_1+1)}{h_1+1} \rfloor = 2(h_1 + 1) + \lfloor \frac{n}{h_1+1} \rfloor - 2$  integers from  $[0, n]$ , we get  $n - 2(h_1 + 1) - \lfloor \frac{n}{h_1+1} \rfloor + 2$  number of vertices in a maximal face of  $\Delta_2$ .

Let us consider  $f(h_1) := n - 2(h_1 + 1) - \frac{n}{h_1+1} + 2$  as a function of  $h_1$ . The derivative  $f'(h_1) = 0$  if and only if  $h_1 = \sqrt{\frac{n}{2}} - 1$ . Since  $f(\sqrt{\frac{n}{2}} - 1) = n - \sqrt{8n} + 2$  and  $-\lfloor \frac{n}{h_1+1} \rfloor \geq -\frac{n}{h_1+1} \geq -\lfloor \frac{n}{h_1+1} \rfloor - 1$ , we have  $\dim \Delta_2 \geq n - \sqrt{8n} + 1$ .

*Remark 4.26.* We note that since, for  $n \gg 0$ ,  $n - \sqrt{8n} + 1 \approx n - \sqrt{8n}$  and  $n - \lceil \frac{-1 + \sqrt{16n+9}}{2} \rceil \approx n - \sqrt{4n}$ , then the class of sets  $X$  as in the second part of the proof of Theorem 4.25 is a almost extremal class of examples.

Now we generalize part of Theorem 4.25 finding a lower bound for  $\Delta_k$ .

**Proposition 4.27.** *Let  $n \geq 4$ . Then  $\dim \Delta_k \geq n - 2(\sqrt{\frac{n}{2} + k - 2} - k) - \frac{n+2k-4}{\sqrt{\frac{n}{2} + k - 2}} - 3$*

Proof. Let  $X = \{0, 1, \dots, h_1 - 1, h_1, h_2, \dots, h_z = n - h_1, n - h_1 + 1, \dots, n\}$  with  $h_1 \geq 2$ ,  $h_{i+1} - h_i = h_1 + k - 1$  for every  $i \in [1, z-2]$  and  $h_z - h_{z-1} \leq h_1 + k - 1$ . By Proposition 4.21,  $kX = [0, kn]$ . Since in  $X$  we exclude  $2(h_1 + 1) + \lfloor \frac{n-2(h_1+1)}{h_1+k-1} \rfloor$  integers from  $[0, n]$ , we get  $n - 2(h_1 + 1) - \lfloor \frac{n-2(h_1+1)}{h_1+k-1} \rfloor$  number of vertices in a maximal face of  $\Delta_k$ .

Let us consider  $f(h_1) := n - 2(h_1 + 1) - \frac{n-2(h_1+1)}{h_1+k-1}$  as a function of  $h_1$ . Using the same argument as in Theorem 4.25, we get the proof.

We note that for  $n = 1, 2, 3$  then  $\Delta_k = \{\emptyset\}$  for every  $k \geq 2$ ; for  $n = 4$ ,  $\Delta_k = \{\emptyset, \{2\}\}$  for every  $k \geq 2$ ; for  $n = 5$ ,  $\Delta_2 = \{\emptyset, \{2\}, \{3\}\}$  and  $\Delta_k = \{\emptyset, \{2, 3\}\}$  for every  $k \geq 3$ ; for  $n = 6$ ,  $\Delta_2 = \{\emptyset, \{2\}, \{3\}, \{4\}, \{2, 4\}\}$ ,  $\Delta_3 = \{\emptyset, \{2\}, \{3\}, \{4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}\}$ ,  $\Delta_k = \{\emptyset, \{2\}, \{3\}, \{4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}, \{2, 3, 4\}\}$  for every  $k \geq 4$ .

Our next aim is to prove that if  $k \geq 3$ , then  $\Delta_k$  is connected for every  $n$  and that  $\Delta_2$  is connected for every  $n \neq 5, 6$ . We need to show that if  $n \geq 7$  then for every  $a, b \in V \setminus \{0, 1, n-1, n\}$  there exist  $\gamma_1, \dots, \gamma_m \in V \setminus \{0, 1, n-1, n\}$  such that  $\{a, \gamma_1\}, \{\gamma_1, \gamma_2\}, \dots, \{\gamma_m, b\}$  are in  $\Delta_k$  for every  $k \geq 2$ .

**Lemma 4.28.** *If  $F \in \Delta_i$ , then  $F \in \Delta_l$  for every  $l \geq i$ .*

Proof. This follows by definition of face of  $\Delta_i$  and by Proposition 4.19.

**Lemma 4.29.** *Let  $n \geq 7$  and  $a, b \in V \setminus \{0, 1, n-1, n\}$  with  $a < b$ . Then  $\{a, b\} \in \Delta_k$ , with  $k \geq 3$ , and  $\{a, b\} \in \Delta_2$  if and only if the pair  $(a, b)$  is different from  $(2, 3)$  and from  $(n-3, n-2)$ .*

*Proof.* Let  $(a, b)$  be different from  $(2, 3)$  and  $(n-3, n-2)$  (this is possible as  $n \geq 7$ ). We need to prove that  $\{a, b\}$  is a face in  $\Delta_2$ , that is  $2(V \setminus \{a, b\}) = [0, 2n]$ . Let  $x \in [0, 2n]$  and suppose first that  $x \leq n$ .

If  $x \neq a, b$ , then  $x = x + 0 \in 2(V \setminus \{a, b\})$ . If  $x = a$ , then  $x = (x-1) + 1 \in 2(V \setminus \{a, b\})$ . Finally if  $x = b$ , then  $x = (b-1) + 1 \in 2(V \setminus \{a, b\})$  if  $a \neq b-1$  and  $x = (b-2) + 2 \in 2(V \setminus \{a, b\})$  if  $a = b-1$ .

Suppose now  $n < x \leq 2n-4$ . Since  $x-n \leq n-4$ , we get  $n > x-n$ ,  $n-1 > x-n+1$  and  $n-2 \geq x-n+2$ . Hence we can write  $x$  in at least three different ways as a sum of two natural number less or equal to  $n$ . Precisely  $x = n + (x-n)$ ,  $x = (n-1) + (x-n+1)$  and  $x = (n-2) + (x-n+2)$ . This implies that in at least one of the sums above the two summands are both different from  $a$  and  $b$ . Thus  $x \in 2(V \setminus \{a, b\})$ .

Suppose now  $2n-3 \leq x \leq 2n$ . Since  $\{n-2, n-1, n\} \subseteq V \setminus \{a, b\}$  or  $\{n-3, n-1, n\} \subseteq V \setminus \{a, b\}$ , then  $x \in 2(V \setminus \{a, b\})$ . Hence  $\{a, b\} \in \Delta_2$ .

To get the proof we need to show that  $\{2, 3\}$  and  $\{n-3, n-2\}$  are not in  $\Delta_2$ . Indeed if  $X = [0, n] \setminus \{2, 3\}$  then  $[0, 2n] \setminus 2X = \{3\}$  and if  $X = [0, n] \setminus \{n-3, n-2\}$  then  $[0, 2n] \setminus 2X = \{2n-3\}$ .

Let now  $k \geq 3$ . By the first part of the proof and by Lemma 4.28 we know that if  $a, b \in V \setminus \{0, 1, n-1, n\}$  with  $(a, b)$  different from  $(2, 3)$  and from  $(n-3, n-2)$ , then  $\{a, b\} \in \Delta_k$ . Let  $V \setminus \{2, 3\} = \{0, 1, 4, 5, 6, \dots, n\}$ . By  $3\{0, 1, 4, 5, 6\} = [0, 12]$ , by  $3[4, n] = [12, 3n]$  and by Lemma 4.28, we have  $\{2, 3\} \in \Delta_k$ . Finally let  $V \setminus \{n-3, n-2\} = \{0, 1, \dots, n-4, n-1, n\}$ . By  $3[0, n-4] = [0, 3n-12]$ , by  $3\{n-5, n-4, n-1, n\} = [3n-12, 3n]$  and by Lemma 4.28, we have  $\{n-3, n-2\} \in \Delta_k$ .

**Theorem 4.30.** *If  $k \geq 3$ , then  $\Delta_k$  is connected for every  $n$ .  $\Delta_2$  is connected for every  $n \neq 5, 6$ .*

*Proof.* By what is written before Lemma 4.28, we need to show that if  $n \geq 7$ , then  $\Delta_k$  is connected for every  $k \geq 2$ . The case  $k \geq 3$  is immediate by Lemma 4.29.

Let  $k = 2$ . Given  $a, b \in V \setminus \{0, 1, n-1, n\}$ . If  $(a, b) \neq (2, 3), (n-3, n-2)$ , then, by Lemma 4.29,  $\{a, b\} \in \Delta_2$ . Otherwise, since  $n \geq 7$ , there exists  $\gamma \in V \setminus \{0, 1, a, b, n-1, n-2\}$ . By Lemma 4.29,  $\{a, \gamma\}$  and  $\{\gamma, b\}$  are in  $\Delta_2$ .

## 4.2 The second case

Let us denote the set of all power products in the indeterminates  $x_1, x_2, \dots, x_d$  of degree  $i$  in  $k[x_1, x_2, \dots, x_d]$ , that is  $\{x_1^i, x_1^{i-1}x_2, \dots, x_d^i\}$ , by  $F_i$ . Let  $g_1, g_2, \dots, g_n$  be positive natural numbers with  $g_1 < g_2 < \dots < g_n$  and  $\gcd(g_1, g_2, \dots, g_n) = 1$ .

In this second case we study the integral closure of powers of the graded maximal ideal of  $R = k[F_{g_1}, F_{g_2}, \dots, F_{g_n}]$ .



We define the  $k$ -algebra homomorphism

$$\psi : k[x_1, x_2, \dots, x_d] \longrightarrow k[t]$$

with  $\psi(f(x_1, \dots, x_d)) = f(t, \dots, t)$ .

We also define the  $k$ -algebra homomorphism

$$\phi : k[t] \longrightarrow k[x_1, x_2, \dots, x_d]$$

with  $\phi(l(t)) = l(x_1)$ .

**Theorem 4.31.** *Let  $R = k[F_{g_1}, F_{g_2}, \dots, F_{g_n}]$  and  $T = k[t^{g_1}, t^{g_2}, \dots, t^{g_n}]$ . Let  $\mathfrak{m} = (F_{g_1}, F_{g_2}, \dots, F_{g_n})$  and  $M = (t^{g_1}, t^{g_2}, \dots, t^{g_n})$  denote the graded maximal ideal of  $R$  and  $T$  respectively. Then for every natural number  $a$ ,  $\overline{\mathfrak{m}^a} \setminus \mathfrak{m}^a \neq \emptyset$  if and only if  $\overline{M^a} \setminus M^a \neq \emptyset$ .*

*Proof.* It is straightforward to verify that, since  $\gcd(g_1, g_2, \dots, g_n) = 1$ , the complements to  $\mathbb{N}^d$  and  $\mathbb{N}$  respectively of the set of exponents of all power products in  $R$  and in  $T$  are finite.

Suppose there exists  $z \in \overline{\mathfrak{m}^a} \setminus \mathfrak{m}^a$  for some  $a$ . By Theorem 3.1, we can suppose that  $z$  is a monomial in  $k[x_1, x_2, \dots, x_d]$ , that is  $z = x_{i_1}^{j_1} \cdots x_{i_p}^{j_p}$ ,  $1 \leq i_1 < \cdots < i_p \leq d$ .

We note that  $\psi(z) = t^{j_1} \cdots t^{j_p} \notin M^a$  (since  $z \notin \mathfrak{m}^a$ ). We will show that  $\psi(z) \in \overline{M^a}$ .

By  $z \in \overline{\mathfrak{m}^a}$ , there exist  $c_1, c_2, \dots, c_m$  with  $c_i \in (\mathfrak{m}^a)^i$  such that  $z^m + c_1 z^{m-1} + \cdots + c_m = 0$ . Hence

$$\begin{aligned} 0 &= \psi(z^m + c_1 z^{m-1} + \cdots + c_m) = \psi(z^m) + \psi(c_1)\psi(z^{m-1}) + \cdots + \psi(c_m) = \\ &\quad \psi(z)^m + \psi(c_1)\psi(z)^{m-1} + \cdots + \psi(c_m). \end{aligned}$$

Since  $c_i \in (\mathfrak{m}^a)^i$ , we get  $\psi(c_i) \in (M^a)^i$ . Thus  $\psi(z) \in \overline{M^a}$ .

Suppose now  $\overline{M^a} \setminus M^a \neq \emptyset$  for some  $a$ . By Theorem 3.1 we can suppose  $t^b \in \overline{M^a} \setminus M^a$  for some  $b$ . Let us consider  $x_1^b$ . Clearly  $x_1^b \notin \mathfrak{m}^a$  (if not  $\psi(x_1^b) = t^b \in M^a$ ). We will show that  $x_1^b \in \overline{\mathfrak{m}^a}$ .

By  $t^b \in \overline{M^a}$  there exist  $c_1, c_2, \dots, c_m$  with  $c_i \in (M^a)^i$  such that  $(t^b)^m + c_1(t^b)^{m-1} + \cdots + c_m = 0$ . Hence

$$\begin{aligned} 0 &= \phi((t^b)^m + c_1(t^b)^{m-1} + \cdots + c_m) = \phi((t^b)^m) + \phi(c_1)\phi((t^b)^{m-1}) + \cdots + \phi(c_m) = \\ &\quad (x_1^b)^m + \phi(c_1)(x_1^b)^{m-1} + \cdots + \phi(c_m). \end{aligned}$$

Since  $\phi(c_i) \in (\mathfrak{m}^a)^i$ , we get  $x_1^b \in \overline{\mathfrak{m}^a}$ .

**Corollary 4.32.** *Let  $(R, \mathfrak{m})$  and  $(T, M)$  be rings as in Theorem 4.31. Then  $\mathfrak{m}$  is normal if and only if  $M$  is normal.*

A study on normal graded maximal ideal for rings  $k[t^{g_1}, t^{g_2}, \dots, t^{g_n}]$  with  $\gcd(g_1, g_2, \dots, g_n) = 1$  can be found in [1].

*Remark 4.33.* Let  $R = k[F_6, F_7, F_{11}]$ . Then, using Theorem 4.31, it is easy to check that  $\mathfrak{m}^2 = \overline{\mathfrak{m}^2}$ , but  $x_1^{22} \in \mathfrak{m}^3 \setminus \overline{\mathfrak{m}^3}$ . Hence the statement of Theorem 4.11 is not true for this kind of rings.

**Corollary 4.34.** *Let  $R$  be a ring as above with graded maximal ideal  $\mathfrak{m} = (F_{g_1}, F_{g_2}, \dots, F_{g_n})$ .*

- (i) *If  $\mathfrak{m}$  is normal, then  $g_2 = g_1 + 1$  and  $g_n < 2g_1$ .*
- (ii)  *$\mathfrak{m}$  is normal if and only if  $\overline{\mathfrak{m}^{a+1}} = \overline{\mathfrak{m}^a} \mathfrak{m}$  for every  $a \geq 0$ .*
- (iii) *Let  $\mathfrak{m}_i$  denote the maximal ideal of  $k[F_{g_1}, F_{g_2}, \dots, F_{g_i}]$ ; if  $\mathfrak{m}_i$  is not normal for some  $i < n$ , then  $\mathfrak{m}_n (= \mathfrak{m})$  is not normal.*

Proof. (i) This follows by Corollary 4.32 and [1, Proposition 3.1].

(ii) Use Corollary 4.32 and [1, Theorem 3.5].

(iii) By Corollary 4.32 and [1, Theorem 3.14].

In the 3-generated case ( $n = 3$ ) we can give a concrete characterization for normal graded maximal ideal  $\mathfrak{m}$ . Suppose  $g_2 = g_1 + 1$  and  $g_3 < 2g_1$  (if one of these two conditions is not satisfied, then  $\mathfrak{m}$  is not normal by (i) of Corollary 4.34) and let  $\alpha$  be the unique integer such that  $(\alpha - 1)g_3 < \alpha g_1$  and  $\alpha g_3 \geq (\alpha + 1)g_1$ .

**Corollary 4.35.** *Let  $R$ ,  $g_2$  and  $g_3$  as above. Then  $\mathfrak{m}$  is normal if and only if  $\alpha g_3 \leq (\alpha + 1)g_2$ .*

Proof. This follows by Corollary 4.32 and [1, Theorem 3.25].

*Example 4.36.* Let

$$k[F_{10}, F_{11}, F_{17}] = k[x_1^{10}, x_1^9 x_2, \dots, x_d^{10}, x_1^{11}, x_1^{10} x_2, \dots, x_d^{11}, x_1^{17}, x_1^{16} x_2, \dots, x_d^{17}].$$

Then  $\alpha = 2$  and, by Corollary 4.35, we get  $\mathfrak{m} = (F_{10}, F_{11}, F_{17})$  is not normal. Using the same argument as above it is easy to check that in  $k[F_{10}, F_{11}, F_{16}]$ ,  $\mathfrak{m} = (F_{10}, F_{11}, F_{17})$  is normal.

## References

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- [2] R. Villarreal, *Monomial Algebras*, Marcel Dekker, 2001.